



COLORADO

**Department of
Regulatory Agencies**

Division of Securities

Tung Chan, Securities Commissioner

Notice of Public Meeting

A meeting of the Colorado Securities Advisory Board will be held at 9:00 a.m. on Wednesday, September 25, 2024, at the Colorado Division Welcome Center Conference room 100D, 1560 Broadway, Denver, Colorado 80202.

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304-410-0234

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Agenda

1. Approval of Board minutes from previous meeting: Chair Elizabeth Karpinski Vonne
2. Introduction of new Board appointee Phillip C. McDermott and reappointment of Board Member Nicolas Budor: Commissioner Tung Chan
3. Introduction of Brian Tobias, Director, Colorado Office of Policy, Research and Regulatory Reform (COPRRR)
4. Board annual election of Chair and Vice Chair
5. Report on Division activities
 - Division Update: Commissioner Tung Chan
 - Introduction of New Staff: Nhut Hunh, examiner; Jennifer Grounds, investigator
 - Examination Update: Chief Examiner Jason Gross
 - Enforcement Update: Chief Investigator Jonathan Block
6. New Board and Continuing Board Member training, Executive Session: Assistant Attorney General II Financial and Health Services Sarah Donahue
7. Other Business

8. Schedule date and time for next meeting

Dated at Denver, Colorado this 4th day of September 2024.

FOR THE SECURITIES BOARD

A handwritten signature in cursive script, appearing to read "Tung Chan", written in black ink.

By: _____
Tung Chan
Securities Commissioner