



Tung Chan, Securities Commissioner

## Notice of Public Meeting

A meeting of the Colorado Securities Board will be held at 9:00 a.m. on Thursday, September 9, 2021. Due to COVID-19, the meeting will be held via Google Meet.

### Meeting ID

**Phone Numbers:** 617-675-4444

**PIN:** 300 422 928 6361#

[More phone numbers](#)

### Agenda

1. Approval of Board minutes from previous meeting
2. Introduction of new Board member and reappointment: Commissioner Chan
3. Report on Division activities
  - Division Update: Commissioner Chan
  - Enforcement Update: Deputy Commissioner Cheval
  - Examination Update: Chief Examiner Jeffrey Eaby
4. The Matter of Michael Miller Norins/One Oak Private Wealth and Asset management, LLC, Respondents, Case No. 2021-CDS-014
  - Discussion with counsel will be in executive session
5. New Board and continuing Board member training: Executive session
6. Other business
7. Schedule date and time for next meeting

Dated at Denver, Colorado this 2nd day of September 2021.

**FOR THE SECURITIES BOARD**

A handwritten signature in black ink, appearing to read 'Tung Chan', written over a horizontal line.

By: \_\_\_\_\_  
Tung Chan  
Securities Commissioner