

Tung Chan, Securities Commissioner

Notice of Public Meeting

A meeting of the Colorado Securities Advisory Board will be held at 10:00 a.m. on Wednesday, September 6, 2023, at the Colorado Division Welcome Center Conference room 100B, 1560 Broadway, Denver, Colorado, 80202.

Join with Google Meet

Phone Numbers: 574-316-0088

PIN: 283 052 895#

Agenda

- 1. Approval of Board minutes from previous meeting: Chair Keith Olivia
- 2. Introduction of new Board appointee Gary DeWaal and reappointment of Board Member Elizabeth Karpinski Vonne: Commissioner Tung Chan
- 3. Board annual election of Chair and Vice Chair: Chair or Vice Chair
- 4. Report on Division activities:
 - Division Update: Commissioner Tung Chan
 - Examination Update: Deputy Securities Commissioner Jeffrey Eaby
 - Enforcement Update: Chief Investigator Jonathan Block
- 5. New Board and Continuing Board Member training, Executive Session: Senior Assistant Attorney General Janna Fischer
- 6. Other Business
- 7. Schedule date and time for next meeting

FOR THE SECURITIES BOARD

By: _____

Tung Chan

Securities Commissioner