

Tung Chan, Securities Commissioner

Notice of Public Meeting

A meeting of the Colorado Securities Advisory Board will be held at 10:00 a.m. on Monday, December 11, 2023, at the Colorado Division Welcome Center Conference room 100D, 1560 Broadway, Denver, Colorado 80202.

Join with Google Meet Phone Numbers: 475-441-4565 PIN: 910 382 621# More phone numbers

Agenda

- 1. Approval of Board minutes from previous meeting: Chair Elizabeth Karpinski Vonne
- 2. Report on Division activities:
 - Division Update: Commissioner Tung Chan
 - Examination Update: Deputy Securities Commissioner Jeffrey Eaby
 - Enforcement Update: Chief Investigator Jonathan Block
- 3. Other Business
- 4. Schedule date and time for next meeting

Dated at Denver, Colorado this 6th day of December 2023.

FOR THE SECURITIES BOARD

Junghan

By: _____ Tung Chan Securities Commissioner