

## **Notice of Public Meeting**

A meeting of the Colorado Securities Advisory Board will be held at 11:00 am on Thursday, December 18, 2025, at the Colorado DORA Welcome Center conference room 100A, 1560 Broadway, Denver, Colorado 80202.

For those attending the Zoom webinar! When: Dec 18, 2025 11:00 AM Mountain Time (US and Canada)

Topic: Dec 2025 Securities Board Meeting https://us06web.zoom.us/j/84440866434

Phone one-tap:

+17193594580,,84440866434# US +12532158782,,84440866434# US (Tacoma)

> Join via audio: +1 719 359 4580 us Webinar ID: 844 4086 6434

## Agenda

- 1. Approval of Board minutes from previous meeting: Chair Gary DeWaal
- 2. Report on Division activities:
  - Division Update: Commissioner Tung Chan
    - Division Matters
  - Examination Update: Chief Examiner Jason Gross
  - Enforcement Update: Chief Investigator Jonathan Block
- 3. Other Business
- 4. Schedule date and time for next meeting

Dated at Denver, Colorado this 11th of December 2025.

FOR THE SECURITIES BOARD

Tung Chan

Securities Commissioner

By: Junghan